

The Clinical Utility of Serial Procalcitonin and Procalcitonin Clearance in Predicting the Outcome of COVID-19 Egyptian Patients

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Background and study aims: The 2019 coronavirus pandemic (COVID-19) poses a severe worldwide health danger. Delicate diagnostics that accurately predict illness prognosis are required to guide appropriate action. The purpose of this study was to explore if serial procalcitonin (PCT) measurement could predict COVID-19 patient outcomes using PCT clearance (PCT-c) as a dynamic change indicator.

Patients and Methods: Serial PCT and PCT-c values of 63 COVID-19 Egyptian patients were compared between

survivors and non-survivors throughout the first five days of their hospitalization .

Results: Serial PCT levels in non-survivors were greater ($p < 0.001$) than in survivors and increased over time. PCT-c levels were lower ($p < 0.01$) and decreased throughout time. The initial PCT value alone predicted in-hospital mortality with 87.3 % accuracy at a 0.80 ng/ml cut-off. Serial measurement improved COVID-19 outcome prediction.

Conclusion: Serial PCT measurement is effective in predicting COVID-19 patient outcomes. PCT-c can also be used to determine PCT progressive kinetics .

INTRODUCTION

Coronavirus disease 2019 (COVID-19) has rapidly spread worldwide, and now it is considered a pandemic that represents an urgent threat to global health [1]. In severe cases, patients may have dyspnea and hypoxia that can rapidly progress to respiratory distress, multi-organ failure, and even death [2]. The mortality of COVID-19 pneumonia is higher than other viral pneumonia [3]. Since inflammation is

an important factor in COVID-19 mortality, sensitive inflammatory biomarkers that reflect lung lesion changes should be continuously explored [1].

Procalcitonin (PCT) is a calcitonin-related pro-hormone released by the thyroid parafollicular cells. Under physiological conditions, serum PCT is usually below 0.05 ng/ml. However, its levels increase significantly 2–6 hours after stimulation

by microbial infection, being released by all parenchymal tissues under the effect of pro-inflammatory cytokines [4]. So, it is a prognostic sign of sepsis [5].

Our study aimed to explore the ability of serial serum concentrations and clearance of PCT to predict the prognosis and mortality of COVID-19 patients.

PATIENTS AND METHODS

Study design and patient selection: This prospective observational study included 63 adults (age ≥ 18 years) with COVID-19 admitted to COVID-19 isolation Hospitals of Ain-Shams University, from April 10 to May 15, 2021. All included patients needed to have 1) laboratory-confirmed SARS-CoV-2 infection by reverse transcription-PCR test from a nasopharyngeal swab prior to hospitalization; and 2) at least one PCT measurement upon hospital admission (or within 24 hours) in their medical records. Pregnant women and patients with secondary bacterial infection, indicated by neutrophilia and positive bacterial respiratory cultures within the first 48 hours of hospital admission, or non-infectious causes of systemic inflammation that can induce PCT production, such as trauma, surgery, burn injury, and chronic kidney disease, were excluded. In this study, patients were followed up and were classified according to their severity, treated, and discharged according to the COVID-19 management protocol issued by Ain Shams University Hospitals [6].

Data collection: For all included patients, baseline and follow-up data were collected from medical records and encompassed demographics, clinical history, presence of comorbidities, routine laboratory test findings, length of hospital stay, ICU admission, and clinical outcome.

Procalcitonin (PCT) measurement: Because of the difficult access to some patients with critical conditions, in addition to the death or hospital discharge of others, not all initially included patients were subjected to serial PCT evaluation. Initial PCT values were obtained from the medical records of all included patients (n=63). Then, further assessment of PCT levels was done using serum samples that were collected on hospital days 3 (n = 47) and 5 (n = 49), and were stored at -80°C until analysis, using the Elecsys® BRAHMS PCT sandwich

immunoassay principle of the electrochemiluminescence autoanalyzer (COBAS e411; Roche Diagnostics, Germany) with the analytical measuring range of 0.02-100 ng/ml and the detection limit of < 0.02 ng/ml. Initial PCT that was done upon hospital admission or within 24 hours and obtained from patients' medical records was defined as PCTD1, while PCT values that were measured on day 3 (48-72 hours) and day 5 (96 – 120 hours) were defined as PCTD3 and PCTD5, respectively.

Procalcitonin clearance (PCT-c) calculation: The clearance of procalcitonin (PCT-c) on days 3 (PCT-cD3) and 5 (PCT-cD5) was calculated as follows: $\text{PCT-c D3or5 (\%)} = [(\text{PCTD1} - \text{PCTD3or5})/\text{PCTD1}] \times 100$. Clearance values are positive with decreasing concentrations and negative with increasing concentrations [7].

Statistical methods: The IBM SPSS statistics program (V. 26.0, IBM Corp., USA, 2019) was used for data analysis. Quantitative non-parametric measures were expressed as medians and percentiles. In addition, categorized data were expressed in the form of numbers and percentages. Comparison between two independent groups was done using the Wilcoxon Rank Sum test. Meanwhile, the Chi-square test was used to compare the categorized data. A diagnostic validity test was, and the area under the curve (AUC) was calculated after the receiver operating characteristic (ROC) curve was constructed to determine each variable's most sensitive and specific predictive cutoff point. All statistical tests were two-tailed, and the probability of error at < 0.05 was considered significant. Data points that were missing were not extrapolated.

RESULTS:

Demographic and clinical characteristics:

During the enrollment period of this study, a final sample of 63 patients, who met the inclusion criteria, was initially obtained. The median age was 55 years (IQR:43– 70), and 54.0% of patients were men. Hypertension (38.1%) and diabetes (30.2%) were the most common comorbidities. Regarding admission disease severity, 50.8% (n=32) of the included patients had a non-severe disease, while 49.2% (n=31) were severe. The median length of stay in the hospital was nine days (IQR: 7 -12) and ranged from 4 to 30 days. In total, 29 patients

(46.0%) were admitted to the ICU, and 18 (28.6%) died.

The characteristics of non-survivors were similar to survivors regarding age, gender, length of hospital stay, and underlying comorbidities, except for diabetes mellitus, which was significantly associated with in-hospital mortality ($P = 0.001$). Similarly, all non-survivors ($n = 18$) had severe disease, and 94.4% ($n = 17$) were admitted to the ICU. In comparison, only 28.9% ($n = 13$) of survivors were considered severe, and 26.7% ($n = 12$) required ICU admission. The demographic and clinical characteristics of the included patients are presented in **table 1**.

Prognostic value of serial PCT and PCT-c:

Table 2 presents the values of PCT and PCT-c with their serial changes in survivors and non-survivors. Serum levels of PCT increased significantly with in-hospital mortality. Compared with survivors, non-survivors showed significantly higher values of PCTD1 (median: 1.20 ng/ml (IQR: 0.23 – 1.96) vs. 0.12 ng/ml (0.06 – 0.34); $P \leq 0.001$), PCTD3 (median: 1.6 ng/ml (0.99 – 2.2) vs. 0.08 ng/ml (0.06 – 0.14); $P \leq 0.001$) and PCTD5 (median: 3.2 ng/ml (IQR: 2.05 – 7.1) vs. 0.05 ng/ml (0.04 – 0.08); $P \leq 0.001$).

As regards PCT-c, it decreased significantly with in-hospital mortality. Values of PCT-cD3 and PCT-cD5 were significantly lower in non-survivors compared to survivors, (median: 50.6% (IQR: 769.15 – 19.53) vs. 25% (13.39 – 42.82); $P = 0.003$ and median: -325.7% (IQR: 1919.61 – -96.13) vs. 51.56% (34.37 – 78.95); $P \leq 0.001$, respectively).

In non-survivors and during the follow-up, serial PCT levels showed an overall progressive

increase. In contrast, PCT-c values progressively decreased. **Figures 1 and 2**

Table 3 demonstrates the diagnostic validity and ROC curve analysis of the ability of serial PCT and PCT-c to predict in-hospital mortality of COVID-19 patients. Regarding the single indicators, serial PCT and PCT-c showed an overall better predictive performance for in-hospital mortality than the initial PCT measurement. At the optimum cutoff values of 0.80 ng/ml, 0.54 ng/ml, 0.18 ng/ml, -11.1% and -79.77% for PCTD1, PCTD3, PCTD5, PCT-cD3 and PCT-cD5, respectively, the AUC followed the order of PCTD5 > PCT-c D5 > PCTD3 > PCTD1 > PCT-c D3 being 0.96, 0.89, 0.82, 0.81 and 0.74, respectively. Despite PCTD1 had the highest diagnostic specificity (97.8%), it showed the lowest diagnostic sensitivity (61.1%). PCTD5 then PCTD3 had the highest diagnostic sensitivity (100% and 85.7%, respectively), NPV (100% and 94.1%, respectively) and diagnostic accuracy (95.9% and 93.6%, respectively). The best PPV was of PCT-c D5 then PCTD3 (93.3% and 92.3%, respectively). PCT-c D3 showed the lowest specificity (87.9%), PPV (71.4%) and accuracy (83.0%).

The AUC increased when PCTD1 at a cut off of 0.8 ng/ml was added to either PCTD3 at 0.03 ng/ml, PCTD5 at 0.398 ng/ml, PCT-cD3 at 50%, or PCT-cD5 at 54.54% to be 0.93, 0.98, 0.96, and 1.00, respectively, showing that the combined prediction value exceeded the initial PCT prediction value, whereas, the best predictive performance was seen in the PCTD1+PCTD-c5 combination, having 100% specificity, sensitivity, PPV, NPV, and accuracy in predicting COVID-19 patients' in-hospital mortality.

Table (1): Demographic and clinical characteristics of the studied population.

Variable		All Cases (n=63)	Survivors (n=45)	Non-survivors (n=18)	p-value
Age (years)	Median (IQR)	55 (43– 70)	54 (37.5– 66)	63.5 (52.25– 73)	0.052
	Range	(21– 94)	(21– 94)	(42– 85)	
Sex n, (%)	Male	34 (54.0%)	24 (53.3%)	10 (55.6%)	0.873
	Female	29 (46.0%)	21 (46.7%)	8 (44.4%)	
Comorbidities n, (%)	DM	19 (30.2%)	8 (17.8%)	11 (61.1%)	0.001
	HTN	24 (38.1%)	14 (31.1%)	10 (55.6%)	0.071
	COPD	9 (14.3%)	5 (11.1%)	4 (22.2%)	0.255
	IHD	10 (15.9%)	6 (13.3%)	4 (22.2%)	0.383
Severity n, (%)	Non-severe	32 (50.8%)	32 (71.1%)	0 (0.0%)	≤ 0.001
	Severe	31 (49.2%)	13 (28.9%)	18 (100.0%)	
Hospital stay (days)	Median (IQR)	9 (7– 12)	9 (6.5– 12)	11(8– 19.25)	0.051
	Range	(4– 30)	(4– 23)	(4– 30)	
ICU admission n, (%)	Not admitted	34 (54.0%)	33 (73.3%)	1 (5.6%)	≤ 0.001
	Admitted	29 (46.0%)	12 (26.7%)	17 (94.4%)	

DM: diabetes mellitus; HTN: hypertension; COPD: chronic obstructive pulmonary disease; IHD: ischemic heart disease; ICU: intensive care unit; IQR: interquartile range. Statistical significance set at 0.05.

Table (2): Comparisons of values of PCT and PCT-c, and their serial changes between survivors and non-survivors.

Day 1		All Cases (n=63)	Survivors (n=45)	Non-survivors (n=18)	p-value
PCT Day 1 (ng/ml)	Median (IQR)	0.15 (0.08– 0.56)	0.12 (0.06 – 0.34)	1.20 (0.23 – 1.96)	≤ 0.001
	Range	0.02 – 12.5	0.03 – 0.97	0.02 – 12.5	
Day 3		(n=47)	(n=33)	(n=14)	
PCT Day 3 (ng/ml)	Median (IQR)	0.12 (0.06– 0.7)	0.08 (0.06 – 0.14)	1.6 (0.99 – 2.2)	≤ 0.001
	Range	0.03– 18.9	0.03 – 0.7	0.03 – 18.9	
PCT-c Day 3 (%)	Median (IQR)	20 (-50 – 38.76)	25 (13.39 – 42.82)	- 50.6 (- 769.15 – 19.53)	0.003
	Range	- 1388.9 – 90.43	- 210 – 90.435	-1388.9 – 68.18	
Day 5		(n=49)	(n=32)	(n=17)	
PCT Day 5 (ng/ml)	Median (IQR)	0.08 (0.042 – 2.38)	0.05 (0.04 – 0.08)	3.2 (2.05 – 7.1)	≤ 0.001
	Range	0.02 – 40.7	0.02 – 1.99	0.2 – 40.7	
PCT-c Day 5 (%)	Median (IQR)	37.5 (-188.28 – 2.83)	51.56 (34.37 – 78.95)	- 325.7 (-1919.61 – - 96.13)	≤ 0.001
	Range	- 3800 – 92.40	- 476.8 – 92.40	- 3800 – 54.54	

PCT: procalcitonin; PCT-c: procalcitonin clearance; IQR: interquartile range. Statistical significance set at 0.05.

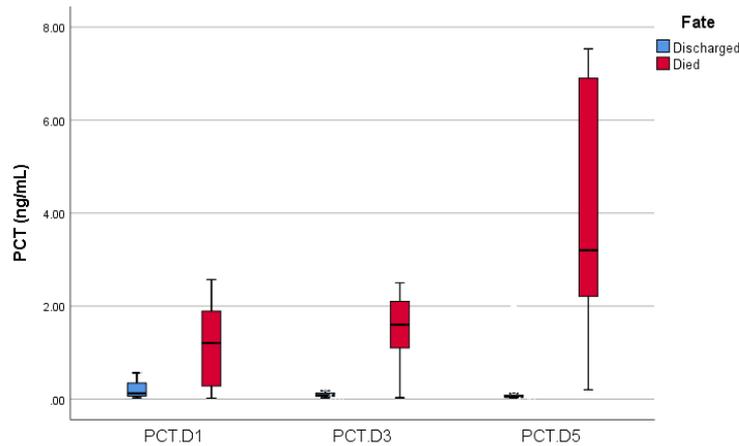


Figure 1: Box plot representing the range, median, and quartiles of serial PCT values on hospital days 1, 3 and 5 in survivors and non-survivors (tested by Wilcoxon rank-sum test)

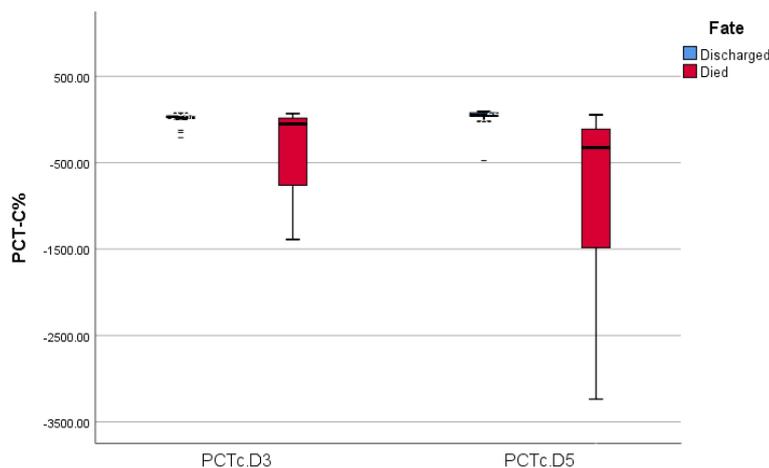


Figure 2: Box plot representing the range, median, and quartiles of PCT-c values on hospital days 3 and 5 in survivors and non-survivors. (tested by Wilcoxon rank-sum test)

Table (3): Diagnostic validity test and receiver operating characteristic (ROC) analysis of the ability of serial PCT measurements and PCT-c to predict in-hospital mortality of COVID-19 patients.

Variable	Cut off	AUC	Specificity (%)	Sensitivity (%)	NPV (%)	PPV (%)	Accuracy (%)
PCT Day 1 (ng/ml)	0.80	0.81	97.8	61.1	86.3	91.7	87.3
PCT Day 3 (ng/ml)	0.54	0.82	97.0	85.7	94.1	92.3	93.6
PCT Day 5 (ng/ml)	0.18	0.96	93.8	100.0	100.0	89.5	95.9
PCT-c Day 3 (%)	- 11.1	0.74	87.9	71.4	87.9	71.4	83.0
PCT-c Day 5 (%)	-79.77	0.89	96.9	82.4	91.2	93.3	91.8
PCT Day 1 at 0.80 + PCT Day 3 at 0.03	--	0.93	97.0	92.9	97.0	92.9	95.7
PCT Day 1 at 0.80 + PCT Day 5 at 0.398	--	0.98	100.0	94.1	97.0	100.0	98.0
PCT Day 1 at 0.80 + PCT-c Day 3 at -50	--	0.96	100.0	92.9	97.1	100.0	97.9
PCT Day 1 at 0.80 + PCT-c Day 5 at -54.54	--	1.00	100.0	100.0	100.0	100.0	100.0

PPV: positive predictive value; NPV: negative predictive value; AUC: area under curve; PCT: procalcitonin; PCT-c: procalcitonin clearance.

DISCUSSION

The COVID-19 pandemic has had a significant influence on global health. In many nations, the fast spread of the virus, high caseloads, and high proportions of patients requiring hospitalization, ICU admission, and respiratory support have put unparalleled demand on healthcare facilities and manpower [3]. It is vital to implement sensitive testing that effectively predicts prognosis to guide optimal intervention to reduce hospital stay and in-hospital mortality [5]. Since 1993, procalcitonin has been a prognostic inflammatory biomarker for determining the severity of infectious etiologies [8]. As a result, several studies have found a significant increase in serum PCT levels after severe lung bacterial infections, since cytokines directly trigger its release. PCT levels were normal or slightly raised during viral infections and non-specific inflammatory processes, owing to TNF- α suppression by virus-stimulated interferon- γ synthesis (INF- γ) [9,10]. Despite being a highly utilized biomarker of bacterial infection, contrasting opinions exist regarding its efficacy in predicting the prognosis of COVID-19 patients [11,12]. However, in severe COVID-19 infections, some studies found elevated levels of PCT [13,14], while others found normal levels [15,16].

To further understand this association, we conducted this study, in which the PCT level was determined sequentially in adult Egyptian COVID-19 patients during the first five days of hospital admission to study PCT kinetics and prognostic performance through the course of the disease. By following up on the patients, we found that the PCT level was significantly higher ($p \leq 0.001$) in non-survivors than survivors with an overall progressive increasing tendency, proposing it a reliable prognostic biomarker of in-hospital mortality of COVID-19 patients.

Consistent with our findings, in a study by Xu et al., increased serum PCT was an independent risk factor for mortality in hospitalized COVID-19 patients [1]. Similarly, a four-study pooled analysis found that greater PCT was linked to five-fold increased risk of severe illness [17]. In addition, Ian and colleagues, who investigated the association of inflammatory biomarkers with the COVID-19 outcome, have found that the elevated PCT was associated with increased severity and mortality of the disease but not with an increased need for ICU admission [18].

In order to monitor the evolution of the PCT level and measure its relative changes to the initial value, we calculated the PCT-c. We observed that its low levels were significantly associated with COVID-19 in-hospital mortality ($p < 0.01$). Whereas during follow-up, it was observed to have progressively decreased in non-survivors and increased in survivors. Confusingly, PCT-c D3 at the cutoff of -11.1% showed the lowest AUC (0.74), specificity (87.9%), PPV (71.4%) and accuracy (83.0%). This could be explained by the small drop in some PCTD3 values in non-survivors, with a small rise in some survivors. While it is difficult to assess the impact of not specifically studied variables, we attributed this to the varying timing of therapy initiation.

PCT-c was developed by Ruiz-Rodriguez et al. and Suberviola et al. to evaluate the utility of serial PCT and its relationship with mortality [7,19]. Ruiz-Rodriguez and colleagues measured PCT-c in 27 patients with septic shock after 24, 48, and 72 hours of treatment and discovered a substantial rise in PCT-c in survivors compared to non-survivors [7]. Meanwhile, Suberviola and colleagues studied 88 patients admitted to the ICU with septic shock and discovered that mortality was significantly lower in patients with increased PCT-c in the first 72 hours of treatment than in patients with reduced clearance in the same period (15.4 % vs 58.8 %, $P < 0.01$) [19].

Consistent with the fact that cytokines released in COVID-19 infection, particularly interferon gamma (INF)- γ , have a negative effect on PCT levels [20], PCT has recently been proposed by Schuetz as a useful method for identifying COVID-19 patients who are at high risk of clinical deterioration. Furthermore, he stated that at the time of hospital admission, most COVID-19 patients showed very low PCT levels (< 0.25 or even < 0.1 ng/ml). However, in clinical deterioration of mild cases, which were expected to have low PCT levels, significant progressive elevation in their level occurred, which added to the strength of the PCT prognostic ability [21].

Several studies also reported that levels of PCT in COVID-19 patients upon hospital admission were typically normal, as in other viral infections, and increased afterward in patients admitted to the ICU [2,15,22]. PCT elevation in these cases was attributed to bacterial co-infection (lung damage by the virus gave access to normal bacterial flora which became invasive

and developed secondary bacterial pneumonia), or, on the other hand, patient deterioration with the advancement of the hyperinflammatory syndrome and cytokine storm (increased synthesis of PCT by cytokines associated with immune dysregulation could develop severe inflammatory pneumonitis and endothelial dysfunction) [21,23].

In concordance with these data, our results showed that serial PCT and PCT-c had an overall better predictive performance than initial PCT for in-hospital mortality of COVID-19 patients. Where, at optimum cut-off values, the AUC followed the order of PCTD5 > PCT-c D5 > PCTD3 > PCTD1 > PCT-c D3 being 0.96, 0.89, 0.82, 0.81 and 0.74, respectively. In addition, the combined prediction value exceeded the initial PCT prediction value. At optimum cut-off values, the AUC increased when PCTD1 was added to PCTD3, PCTD5, PCT-cD3, or PCT-cD5 to be 0.93, 0.98, 0.96, and 1.00, respectively.

One disadvantage of serial PCT measurement could be the cost. However, the overall cost of aggressive unnecessary therapeutic interventions and the resulting patients' complications could exceed the cost of the repetition of the test. To our knowledge, our study is the first to explore the role of PCT-c as an indicator for dynamic changes in PCT to predict the outcome of hospitalized COVID-19 patients, but it has several limitations. The first issue is the small sample size and the disproportionate number of cases in the study groups. Second, we did not collect enough information about the antibiotic treatment of patients or the results of their microbiological cultures. Third, we studied changes in PCT alone and did not account for the possibility that the relationship of PCT to other inflammatory markers could be more informative. Thus, further studies on larger samples and more clinical considerations and correlation and comparison with other inflammatory markers are required.

CONCLUSION

Persistently high PCT concentrations and reduced PCT-c were associated with significantly higher COVID-19 in-hospital mortality. This suggests that the elevated PCT, with its progressive kinetics, may help predict the outcomes of COVID-19 patients. Moreover,

PCT-c can be used to assess PCT kinetic changes during the disease course and evaluate the potential value of PCT as a prognostic marker.

LIST OF ABBREVIATIONS:

COVID-19: Coronavirus disease 2019; INF- γ : Interferon- gamma; PCT: Procalcitonin; PCT-c: Procalcitonin clearance; RT- PCR: Reverse transcription-polymerase chain reaction; SARS-CoV-2: Severe acute respiratory syndrome corona virus 2; TNF- α : Tumor necrosis factor-alpha.

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Consent for publication: Not applicable.

Ethical considerations:

The work was authorized by Ain Shams University's Research Ethics Committee, FWA 00017585, and followed the Helsinki Declaration. Each participant or their first-degree relative gave written informed consent after being told of the study's purpose and protocol. All patient data were kept confidential.

HIGHLIGHTS

- 1- Elevated procalcitonin levels and their progressive kinetics can predict COVID-19 patients' outcome.
- 2- Procalcitonin clearance can be used as a tool to assess the kinetic change of procalcitonin levels during COVID-19 infection.

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